

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450	REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK
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In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Eastern District of Texas on the following

☐ Trademarks or ☒ Patents. (☐ the patent action involves 35 U.S.C. § 292.):

DOCKET NO. 2:15-cv-1543-JRG-RSE	DATE FILED 9/18/2015	U.S. DISTRICT COURT Eastern District of Texas
PLAINTIFF Rockwell Automation, Inc.		DEFENDANT 3S-Smart Software Solutions GmbH
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1 5,619,409	4/8/1997	Rockwell Automation, Inc.
2 5,636,124	6/3/1997	Rockwell Automation, Inc.
3 5,812,133	9/22/1998	Rockwell Automation, Inc.
4 5,818,711	10/6/1998	Rockwell Automation, Inc.
5 see attached		see attached

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
	<input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
1		
2		
3		
4		
5		

In the above—entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT		
CLERK	(BY) DEPUTY CLERK	DATE

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

**REPORT ON THE FILING OR DETERMINATION OF AN ACTION
REGARDING A PATENT OR TRADEMARK**

Continued

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
5,844,795	12/1/1998	Rockwell Automation, Inc.
5,845,149	12/1/1998	Rockwell Automation, Inc.
5,940,293	8/17/1999	Rockwell Automation, Inc.
6,138,174	10/24/2000	Rockwell Automation, Inc.
6,247,168	6/12/2001	Rockwell Automation, Inc.
6,675,226	6/6/2004	Rockwell Automation, Inc.
6,816,817	11/9/2004	Rockwell Automation, Inc.
6,978,225	10/20/2005	Rockwell Automation, Inc.
7,130,704	10/31/2006	Rockwell Automation, Inc.
7,143,366	11/28/2006	Rockwell Automation, Inc.
7,693,585	4/6/2010	Rockwell Automation, Inc.
7,716,567	5/11/2010	Rockwell Automation, Inc.
7,836,122	11/16/2010	Rockwell Automation, Inc.